

ASA Financial Advisers Pty Ltd

Financial Services Guide

ABN 55 090 423 920 | Authorised Representative No: 316067

Version number: 24.02

PART TWO – ADVISER PROFILE

This adviser profile is Part Two of the Count Financial Limited (Count) Financial Services Guide (FSG) 1 March 2024 and should be read in conjunction with Part One of our FSG dated 1 March 2024. Together these documents form the complete FSG.

ASA Financial Advisers Pty Ltd is an Authorised Representative of Count Financial Limited (Count).

The individual(s) listed in this FSG are authorised by Count to provide personal advice through ASA Financial Advisers Pty Ltd.

Our contact details:

Address: Unit 6/1 Sigma Drive, Croydon South VIC 3136

Phone: 03 9890 4055

Email: info@asafinancial.com.au

Web: www.asafinancialadvisers.com.au

John Tatterson

Authorised Representative Number: 231039

John is an Authorised Representative of Count and a Managing Director of ASA Financial Advisers Pty Ltd, and receives a salary and bonus subject to performance of company.

John has over 17 years of experience in the provision of financial planning advice. John has completed the following: Certified Financial Planner® professional, Diploma of Financial Planning, ASX Listed Products Accreditation, Margin Lending and Geared Investments and Bachelor of Commerce from Melbourne University. He is a Certified Financial Planner and a member of the Financial Advice Association Australia (FAAA). John is accredited to provide advice in Self-Managed Superannuation Funds and Aged Care.

Financial services and product types

John is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, stocks and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Margin lending,
- Superannuation.

How to contact me: john@asafinancial.com.au or 03 9890 4055

Rob is an Authorised Representative of Count and an employee of ASA Financial Advisers Pty Ltd, and receives a salary and bonus subject to performance of company.

Rob has over 10 years' experience in the financial planning industry. Rob has completed the following: Advanced Diploma of Financial Planning, Accredited listed Product Adviser Program and Bachelor of Computing from Deakin University. He is a member of the Financial Advice Association Australia (FAAA). Rob is accredited to provide advice in Self-Managed Superannuation Funds and Aged Care.

Financial services and product types

Rob is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, stocks and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Superannuation.

How to contact me: robert@asafinancial.com.au or 03 9890 4055

Advice preparation and implementation fee

Prior to the provision of personal advice we will agree upon a preferred payment option for both parties. Below is a summary of our available payment options that can be combined to pay for our services.

Where we are aware that you have used borrowed funds (ie funds that are either secured or unsecured) to invest through us, we will charge you a flat dollar fee.

These fee options include:

Time based charging

- i) The fee for the preparation and implementation of our advice is calculated based upon the time we spend developing the plan. Our hourly rate is \$220 per hour (incl. GST) with our advice preparation fee ranging from \$660 to a maximum of \$5,500 (incl. GST).

Price can vary depending on scope and complexity of the advice and we will provide you with an estimate of the overall cost. If extra charges apply, then we will inform you before proceeding with any work.

Service based charging

- ii) The fee for the preparation and implementation of our advice is calculated based upon a fixed price agreement. This fixed dollar amount will vary based upon the complexity of advice being provided and agreed upon prior to commencement. Our minimum fee for this is \$550 (incl. GST) up to a maximum of \$5,500 (incl. GST).

Life insurance

- iii) The fee for the preparation and implementation of our advice is calculated as a percentage of the premium amount paid. Our minimum fee for this is \$660 (incl. GST) and the maximum fee as detailed in fee schedule 2 below.

Schedule 2 (Retail insurance)

The maximum fees are based on the commission payments detailed in the section titled 'Our fees and other costs' in Part 1 of the FSG under 'Life Insurance Products'.

If you decide not to implement our recommendations, the fee for the preparation of the Statement of Advice will be payable in full.

Supplementary service fees

For supplementary services, such as the provision of general research material or the completion of administrative tasks, our fee will be calculated on a time basis of \$220 per hour.

Ongoing & Fixed Term service fees

Our ongoing and fixed term advice fees vary depending on scope and complexity and range from \$660 to \$5,500 (incl. GST) unless otherwise agreed. The exact cost of the ongoing/fixed term review service will depend on the review offering we recommend, and this will be disclosed within the Ongoing or Fixed Term Service Agreement we provide to you.

Should you require any additional services outside of any agreement between you and your adviser, an amount of up to \$220 per hour, may be applied.

Non-advisory implementation fees

Other: If we assist you on an execution only basis (ie where you have been offered and declined advice), a fee of up to \$220 per hour may be applied.

Other third-party payments we may receive

We may also receive the following fees, which are not payable by you.

Stamping Fees

Where we facilitate Share Placements and Initial Public Offers in relation to ASX-listed Direct Equities (i.e. Shares – this excludes Listed Investment Companies and Trusts), we may receive up to 1.5% of the transaction value as “stamping fees”.

Insurance Commissions

Where we facilitate the acquisition, or modification of a Life Insurance product, we may receive commission as described in Part 1 of this FSG.

Where we provide advice in relation to the above transactions and charge an advice fee, we may choose to rebate all or part of the third-party payment to you.
